



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/879,816	06/12/2001	Richard S. Bice	01-40110-US

CONFIRMATION NO. 4295

07066
REED SMITH LLP
2500 ONE LIBERTY PLACE
1650 MARKET STREET
PHILADELPHIA, PA 19103

FORMALITIES LETTER



OC000000006400190

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 HTECKLU1 00000037 09879816

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	234.00 OP
03 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$234.
 ■ \$234 for 13 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1074.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Zm

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Bice, et al.	:	Examiner:	Not yet assigned
Serial No.:	09/879,816	:	Group Art Unit:	2152
Filing Date:	June 12, 2001	:	Docket No.	01-40110-US
Title:	AUTOMATED MESSAGE HANDLING SYSTEM AND PROCESS	:		

BOX MISSING PARTS

Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application" dated August 8, 2001, enclosed herewith is:

1. Copy of the Notice;
2. Combined Declaration and Power of Attorney; and
3. Fee Transmittal Sheet

The Commissioner is hereby authorized to charge any deficiency in the enclosed amount or credit any overpayment to Deposit Account No. 18-0586.

Respectfully submitted,

Dated: October 9, 2001

Carl H. Pierce
Reg. No. 45,730
REED SMITH LLP
2500 One Liberty Place
1650 Market Street
Philadelphia, PA 19103-7301
(215) 241-7970

ReedSmith



Carl H. Pierc • 215 241 7970 • cpierce@reedsmith.com

October 9, 2001

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Re: Serial No.: 09/879,816
Applicant: Bice, et al.
Title: AUTOMATED MESSAGE HANDLING
SYSTEM AND PROCESS
Filing Date: June 12, 2001
Docket No.: 01-40110-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. Response to Notice to File Missing Parts of Non-Provisional Application;
2. Copy of the Notice dated August 8, 2001;
3. Fee Transmittal Sheet;
4. Combined Declaration and Power of Attorney;
5. Check for \$1,104.00 to cover the filing and surcharge fees; and
6. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

CERTIFICATE OF MAILING

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Name Carl H. Pierc

Date of Deposit October 9, 2001

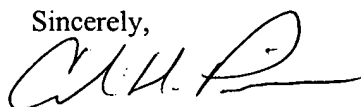
Signature

2500 One Liberty Place
1650 Market Street
Philadelphia, PA 19103
215.851.8100
Fax 215.851.1420
Delaware
New Jersey
New York
Pennsylvania
Virginia
Washington, DC

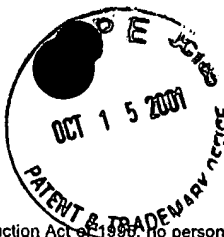
Commissioner for Patents
October 9, 2001
Page 2

The Commissioner is hereby authorized to charge any additional fees due, or credit any overpayment to
Deposit Account No. 18-0586.

Sincerely,

A handwritten signature in black ink, appearing to read 'C.H. Pierce', with a long horizontal flourish extending to the right.

Carl H. Pierce
Reg. No. 45,730



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)
\$1,104.00

Complete if Known:

Application Number	09/879,816
Filing Date	June 12, 2001
First Named Inventor	Bice et al.
Examiner Name	Not Yet Assigned
Group Art Unit	2152
Attorney Docket No.	01-40110-US

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 18-0586
Deposit Account Name: Reed Smith LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)
740.00

2. EXTRA CLAIM FEES

Total Claims: 33
Independent Claims: 3
Multiple Dependent: 30
Extra Claims: 13
Fee from below: 18
Fee Paid: 234

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)
234.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 130.00					

SUBMITTED BY

Name (Print/Type)	Carl H. Pierce	Registration No. (Attorney/Agent)	45,730	Telephone	215-241-7970
Signature		Date	10/9/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.